

UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK

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IN RE STATE STREET BANK AND  
TRUST CO. FIXED INCOME FUNDS  
INVESTMENT LITIGATION

MDL No. 1945

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PRUDENTIAL RETIREMENT INSURANCE  
AND ANNUITY COMPANY,

Plaintiff,

v.

No. 07 Civ. 8488 (RJH)

STATE STREET BANK & TRUST  
COMPANY,

Defendant.

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**NOTICE OF APPEARANCE**

Notice is hereby given of the entry of the undersigned as counsel for Defendant State Street Bank and Trust Company in the above-entitled action. Pursuant to Fed. R. Civ. P. 5, all further notices and copies of pleadings, papers, and other material relevant to this action should be directed to and served upon:

Robert F. Dugas  
ROPES & GRAY LLP  
One Metro Center  
700 12<sup>th</sup> Street, NW, Suite 900  
Washington, DC 20005-3948  
Telephone: 202-508-4600  
Facsimile: 202-508-4650  
[robert.dugas@ropesgray.com](mailto:robert.dugas@ropesgray.com)

Counsel is admitted to practice and is in good standing as a member of the bar of the United States District Court for Massachusetts and the District of Columbia. *See* Certificates of

Good Standing, attached respectively as Exhibit "A" and Exhibit "B." Pursuant to the Court's Order entered on April 6, 2009 (attached hereto as Exhibit "C"), counsel is hereby admitted *pro hac vice* and has registered for ECF filing in regard to this litigation.

Dated: October 4, 2011

Respectfully submitted,

/s/ Robert F. Dugas  
Robert F. Dugas  
[robert.dugas@ropesgray.com](mailto:robert.dugas@ropesgray.com)  
ROPES & GRAY LLP  
One Metro Center  
700 12<sup>th</sup> Street, NW, Suite 900  
Washington, DC 20005-3948  
Telephone: 202-508-4600  
Facsimile: 202-508-4650  
**ATTORNEY FOR DEFENDANTS STATE  
STREET BANK AND TRUST COMPANY**

**CERTIFICATE OF SERVICE**

I hereby certify that a true and correct copy of the forgoing has been served on all counsel of record via ECF on this 4th day of October, 2011.

/s/ Robert Dugas  
Robert Dugas

# EXHIBIT A

## COMMONWEALTH OF MASSACHUSETTS

SUFFOLK, SS.

BE IT REMEMBERED, that at the Supreme Judicial Court holden at Boston within and for said County of Suffolk, on the **eighteenth** day of **April** A.D. **2007**, said Court being the highest Court of Record in said Commonwealth:

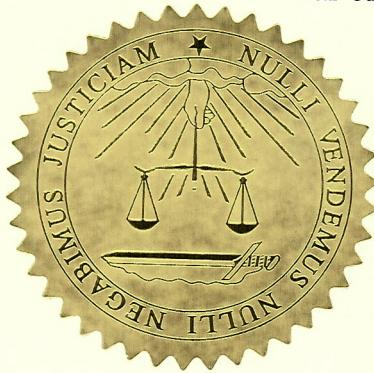
Robert F. Dugas

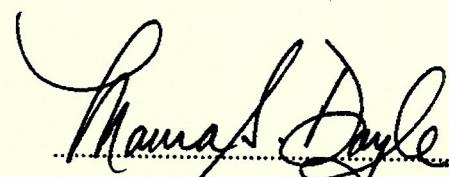
being found duly qualified in that behalf, and having taken and subscribed the oaths required by law, was admitted to practice as an Attorney, and, by virtue thereof, as a Counsellor at Law, in any of the Courts of the said Commonwealth: that said Attorney is at present a member of the Bar, and is in good standing according to the records of this Court\*.

In testimony whereof, I have hereunto set my hand and affixed the

seal of said Court, this **thirtieth** day of **September**

in the year of our Lord **two thousand and eleven**.



  
MAURA S. DOYLE, Clerk

\* Records of private discipline, if any, such as a private reprimand imposed by the Board of Bar Overseers or by any court, are not covered by this certification.

# EXHIBIT B



District of Columbia Court of Appeals  
Committee on Admissions  
430 E Street, N.W. — Room 123  
Washington, D.C. 20001  
202 / 879-2710

*I, JULIO A. CASTILLO, Clerk of the District of Columbia Court of Appeals, do hereby certify that*

*ROBERT DUGAS*

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*was on the 6<sup>TH</sup> day of JUNE, 2011*

*duly qualified and admitted as an attorney and counselor entitled to practice before this Court and is, on the date indicated below, an active member in good standing of this Bar.*

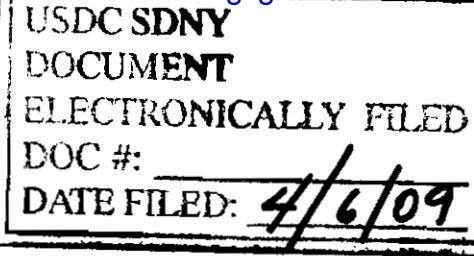
*In Testimony Whereof, I have hereunto subscribed my name and affixed the seal of this Court at the City of Washington, D.C., on September 29, 2011.*

*JULIO A. CASTILLO  
Clerk of the Court*

*By: John J. Kline  
Deputy Clerk*

# EXHIBIT C

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK



IN RE STATE STREET BANK & TRUST  
CO. ERISA LITIGATION

MDL No. 08-1945  
07 Civ. 8488 (RJH)

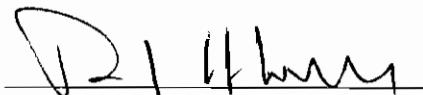
This Order relates to:  
All actions

**ORDER**

It is hereby ordered that attorneys admitted to practice and in good standing in any United States District Court are admitted *pro hac vice* in this litigation. Association of local co-counsel is not required.

SO ORDERED.

Dated: New York, New York  
April 5, 2009

  
Richard J. Holwell  
United States District Judge